



# SECURITIES AND EXCHANGE COMMISSION

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**The following document has been received:**

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## Company Information

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**SEC Registration No.:** 0000020682

**Company Name:** ORIENTAL ASSURANCE CORP.

**Industry Classification:** J67030

**Company Type:** Non-stock Corporation

## Document Information

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**Document ID:** OST10412202381003664

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** March 07, 2023

**Submission Type:** Annual Meeting

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

GENERAL INFORMATION SHEET (GIS)			
FOR THE YEAR <u>2023</u>			
STOCK CORPORATION			
<b>GENERAL INSTRUCTIONS:</b> 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. <b>DO NOT LEAVE ANY ITEM BLANK.</b> WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE <b>CORPORATE SECRETARY</b> OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. <b>ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.</b> 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <div style="text-align: center;">ORIENTAL ASSURANCE CORPORATION</div>			DATE REGISTERED: <div style="text-align: center;">5/3/1962</div>
BUSINESS/TRADE NAME: <div style="text-align: center;">ORIENTAL ASSURANCE CORPORATION</div>			FISCAL YEAR END: <div style="text-align: center;">December 31</div>
SEC REGISTRATION NUMBER: <div style="text-align: center;">20682</div>			CORPORATE TAX IDENTIFICATION NUMBER (TIN) <div style="text-align: center;">000-798-742-000</div>
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">First Tuesday of March</div>			WEBSITE/URL ADDRESS: <div style="text-align: center;">www.oac.com.ph</div>
ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">07-Mar-23</div>			E-MAIL ADDRESS: <div style="text-align: center;">kcotoco@oac.com.ph</div>
COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">2/F OAC Building, 27 San Miguel Avenue, Ortigas Center, Pasig City</div>			FAX NUMBER: <div style="text-align: center;">631-7815</div>
COMPLETE BUSINESS ADDRESS: <div style="text-align: center;">same as above</div>			
OFFICIAL E-MAIL ADDRESS <div style="text-align: center;">villarlaw100@gmail.com</div>	ALTERNATE E-MAIL ADDRESS <div style="text-align: center;">info@orientalassurance.com</div>	OFFICIAL MOBILE <div style="text-align: center;">09285517193</div>	ALTERNATE MOBILE NUMBER <div style="text-align: center;">09175334891</div>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <div style="text-align: center;">Roxas Cruz Tagle and Co. (Formerly Alba Romeo &amp; Co.)</div>		SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S): <div style="text-align: center;">8631-7851 to 66</div>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <div style="text-align: center;">Non- Life Insurance Business</div>		INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
N/A			
NOTE: USE ADDITIONAL SHEET IF NECESSARY			



GENERAL INFORMATION SHEET	
STOCK CORPORATION	
===== PLEASE PRINT LEGIBLY =====	
Corporate Name: <b>ORIENTAL ASSURANCE CORPORATION</b>	
<b>A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)</b> <span style="float: right;"> <input type="radio"/> Yes    <input checked="" type="radio"/> No         </span>	
Please check the appropriate box:	
<b>1.</b> <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals
<b>2.</b> <input checked="" type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	<b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone
<b>3.</b> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman  <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:  <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
<b>7.</b> Persons who provide any of the following services:  <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities	<b>8.</b> <input type="checkbox"/> None of the above
<b>8.</b> Describe nature of business:	<b>INSURANCE</b>
<b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b> <span style="float: right;"> <input checked="" type="radio"/> Yes    <input type="radio"/> No         </span>	

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>ORIENTAL ASSURANCE CORPORATION</b>					
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>			
	common	15,000,000	100	1,500,000,000.00			
<b>TOTAL</b>		<b>15,000,000</b>	<b>TOTAL P</b>	<b>1,500,000,000.00</b>			
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	17	common	5,882,143		100.00	588,214,300.00	100%
<b>TOTAL</b>			<b>5,882,143</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>588,214,300.00</b>	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>TOTAL</b>	<b>TOTAL P</b>		
<b>TOTAL SUBSCRIBED</b>						<b>P</b>	<b>588,214,300.00</b>
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
	17	common	4,287,429	100.00	428,742,900.00	100%	
<b>TOTAL</b>			<b>4,287,429</b>	<b>TOTAL P</b>	<b>428,742,900.00</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
<b>0.00 %</b>			<b>TOTAL</b>	<b>TOTAL P</b>			
				<b>TOTAL PAID-UP P</b>	<b>428,742,900.00</b>		
<b>NOTE: USE ADDITIONAL SHEET IF NECESSARY</b>							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							



# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ORIENTAL ASSURANCE CORPORATION								
<b>DIRECTORS / OFFICERS</b>								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. DOMINGO N. COTOCO 2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	N	M	M	Y	President/CEO		101-900-758
2. KENT S. COTOCO 2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	N	M	M	Y	E.V.P. Compliance Officer		107-437-660
3. GWENY LYNN HAZEL U. COTOCO 2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	N	N	F	Y	Treasurer		265-363-256
4. DONN DRAKE DANIEL T. COTOCO No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	N	M	M	Y	N/A		211-346-372
5. MELODY ANNE E. CALO-VILLAR 2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	N	N	F	N	Corporate Sec./Asst. Compliance		213-599-703
6. DONN DAVE T. COTOCO 2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	N	M	M	Y	Vice-President		222-321-195
7. ISAURO N. COTOCO No. 42 Forest Hills, New Manila, Quezon City	Filipino	N	N	M	Y	N/A		107-437-644
8. MANOLO ROMULO 94 Dangay St., Project 7, Quezon City	Filipino	N	I	M	Y	Chairman of the Board		287-246-311
9. FELINO S. COTOCO No. 125 H. Lozada Street, San Juan City	Filipino	N	M	M	Y	N/A		106-370-224
10. ANTONIO SY #12 Annapolis St., Cubao, Quezon City	Filipino	N	I	M	Y	N/A		100-061-291
11.								
12.								
13.								
14.								
15.								
<b>INSTRUCTION:</b> FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b> ORIENTAL ASSURANCE CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. DOMINGO N. COTOCO 2/F, OAC Building, 27 San Miguel Avenue, Ortigas Center, Pasig City	common	2,089,291	208,929,100.00	35.52%	152,286,000.00	101-900-758
	<b>TOTAL</b>	<b>2,089,291</b>	<b>208,929,100.00</b>			
2. DONN DERRICK COTOCO No. 88 Swallow Drive, Greenmeadows Quezon City	common	257,143	25,714,300.00	4.37%	18,742,900.00	222-320-998
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
3. DONN DEXTER COTOCO No. 88 Swallow Drive, Greenmeadows Quezon City	common	257,143	25,714,300.00	4.37%	18,742,900.00	222-320-993
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
4. DONN DRAKE DANIEL COTOCO No. 88 Swallow Drive, Greenmeadows Quezon City	common	257,143	25,714,300.00	4.37%	18,742,900.00	211-346-372
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
5. DONN DAVE T. COTOCO 2/F, OAC Building, 27 San Miguel Avenue, Ortigas Center, Pasig City	common	257,143	25,714,300.00	4.37%	18,742,900.00	222-321-195
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
6. DIONE VALERIE COTOCO No. 88 Swallow Drive, Greenmeadows Quezon City	common	257,143	25,714,300.00	4.37%	18,742,900.00	222-319-778
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
7. GWENY LYNN HAZEL COTOCO 2/F, OAC Building, 27 San Miguel Avenue Ortigas Center, Pasig City	common	257,143	25,714,300.00	4.37%	18,742,900.00	265-363-256
	<b>TOTAL</b>	<b>257,143</b>	<b>25,714,300.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>61.74%</b>	<b>264,743,400.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ORIENTAL ASSURANCE CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. KENT S. COTOCO 2/F, OAC Building, 27 San Miguel Avenue, Ortigas Center, Pasig City	common	1,691,985	169,198,500.00	28.76%	123,327,000.00	107-437-660
	<b>TOTAL</b>	1,691,985	169,198,500.00			
9. FELINO S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	106-370-224
	<b>TOTAL</b>	93,001	9,300,100.00			
10. SABRINA S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	100-987-366
	<b>TOTAL</b>	93,001	9,300,100.00			
11. VIVIAN S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	118-289-330
	<b>TOTAL</b>	93,001	9,300,100.00			
12. BELEN S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	118-582-253
	<b>TOTAL</b>	93,001	9,300,100.00			
13. VAN S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	109-906-200
	<b>TOTAL</b>	93,001	9,300,100.00			
14. ANSON S. COTOCO No. 125 H. Lozada Street, San Juan City	common	93,001	9,300,100.00	1.58%	6,778,700.00	928-360-256
	<b>TOTAL</b>	93,001	9,300,100.00			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>38.24%</b>	<b>163,999,200.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ORIENTAL ASSURANCE CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. ISAURO N. COTOCO No. 42 Forest Hills, New Manila, Quezon City	common	1	100.00	0%	100.00	107-437-644
	<b>TOTAL</b>	1	100.00			
16. MANOLO ROMULO 94 Dangay St., Project 7, Quezon City	common	1	100.00	0%	100.00	287-246-311
	<b>TOTAL</b>	1	100.00			
17. ANTONIO SY #12 Annapolis St., Cubao, Quezon City	common	1	100.00	0%	100.00	100-061-291
	<b>TOTAL</b>	1	100.00			
18.						
	<b>TOTAL</b>					
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. OTHERS (Indicate the number of the remaining stockholders)						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				0%	<b>300.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>			<b>428,742,900.00</b>			
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						



# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> ORIENTAL ASSURANCE CORPORATION			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>IC</b>	
<b>TYPE OF LICENSE/REGN.</b>			
<b>DATE ISSUED:</b>			
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
		125	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **MELODY ANNE E. CALO-VILLAR**, Corporate Secretary of **ORIENTAL ASSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure submit to the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this **APR 04 2023** day of April, 2023 in Pasig City.

  
**MELODY ANNE E. CALO-VILLAR**

**APR 04 2023**

**SUBSCRIBED AND SWORN TO** before me in Pasig City on \_\_\_\_\_, by affiant who personally appeared before me and exhibited to me her Social Security System I.D. No. 33-7664492-2.

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Series of 2023.

**FERDINAND D. AYAHAO**  
*Notary Public*  
For Pasig City, Pateros and San Juan City  
Appointment No. 108 (2022-2023) valid until 12/31/2023  
MCLE Exemption No. 778-2022-0019 valid until 04/14/25  
Roll No. 46377; 18P-LSN-2022-0019-000000; 06/21/2001  
TIN 123-011-785; PAF-2022-010623; Pasig City  
Unit 5, West Tower, 1st Fl., Exchange Road  
Ortigas Center, Pasig City Tel. #832-86314090

**NOTARY PUBLIC**



**BENEFICIAL OWNERSHIP DECLARATION**  
**FOR THE YEAR: 2023**

SEC REGISTRATION NUMBER:  
CORPORATE NAME:

20682  
Oriental Assurance Corporation

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category	Description
A	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
B	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
C	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
D	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
E	Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
F	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
G	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
H	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
I	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
1. Cotoco, Domingo N.	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	02/11/1947	101-900-758	35.52000%	D	A - President
2. Cotoco, Donn Derrick T.	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	11/08/1974	222-320-998	4.37000%	D	A
3. Cotoco, Donn Dexter T.	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	05/24/1976	222-320-993	4.37000%	D	A
4. Cotoco, Donn Drake Daniel T.	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	10/29/1977	211-346-372	4.37000%	D	A
5. Cotoco, Donn Dave T.	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	02/19/1981	222-321-195	4.37000%	D	I - Vice President
6. Cotoco, Dione Valerie	No. 88 Swallow Drive, Greenmeadows, Quezon City	Filipino	01/17/1982	222-319-778	4.37000%	D	A
7. Cotoco, Gweny Lynn Hazel	2/F, OAC Building, 27 San Miguel Ave., Ortigas Center, Pasig City	Filipino	07/17/1977	265-363-256	4.37000%	D	I - Treasurer
8. Cotoco, Kent S.	No. 123 H. Lozada Street San Juan City	Filipino	03/24/1961	107-437-660	28.76000%	D	A - E.V.P. Compliance Officer
9. Cotoco, Felino S.	No. 125 H. Lozada Street San Juan City	Filipino	06/01/1955	106-370-224	1.58000%	D	A
10. Cotoco, Sabrina S.	No. 125 H. Lozada Street San Juan City	Filipino	11/29/1956	100-987-366	1.58000%	D	A
11. Cotoco, Vivian S.	No. 125 H. Lozada Street San Juan City	Filipino	01/11/1963	118-289-330	1.58000%	D	A
12. Cotoco, Belen S.	No. 125 H. Lozada Street San Juan City	Filipino	11/25/1957	118-582-253	1.58000%	D	A
13. Cotoco, Van S.	No. 125 H. Lozada Street San Juan City	Filipino	07/24/1959	109-906-200	1.58000%	D	A
14. Cotoco, Anson S.	No. 125 H. Lozada Street San Juan City	Filipino	11/10/1965	928-360-256	1.58000%	D	A
15. Cotoco, Isauro N.	No. 42 Forest Hills, New Manila, Quezon City	Filipino	11/27/1942	107-437-644	0.00000%	D	A
16. Romulo, Manolo C., Sr.	94 Dangay St., Project 7, Quezon City	Filipino	12/24/1930	287-246-311	0.00000%	D	I - Chairman of the Board
17. Sy, Antonio	#12 Annapolis St., Cubao, Quezon City	Filipino	06/17/1946	100-061-291	0.00000%	D	A

Note: This page is not for uploading on the SEC IView.

<sup>1</sup> For Stock Corporations.

GIS FOREIGN DISCLOSURE FOR NON-STOCK CORPORATIONS.

<sup>3</sup> For Stock Corporations.